

03/20/01
J1054 U.S. PTO

03-21-01 A

Please type a plus sign (+) inside this box +

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/05 (08-00)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

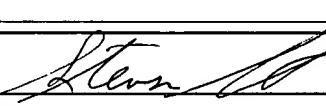
UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	COM011
First Named Inventor	Robert J. McCarty, Jr.
Title	Reduced MIPS Pulse Shaping Filter
Express Mail Label No.	EL 842426825 US

APPLICATION ELEMENTS		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
See MPEP chapter 600 concerning utility patent application contents.			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)		
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>		
3. <input checked="" type="checkbox"/> Specification [Total Pages 19] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure 	a. <input type="checkbox"/> Computer Readable Form (CRF)		
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 4]	b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper 		
5. Oath or Declaration [Total Pages 2]	c. <input type="checkbox"/> Statements verifying identity of above copies		
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))		
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/division with Box 17 completed)</i>	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>		
i. <input type="checkbox"/> DELETION OF INVENTOR(S) <i>Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(f)(2) and 1.33(h).</i>	11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>		
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations		
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76	13. <input type="checkbox"/> Preliminary Amendment		
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>		
Prior application information: Examiner _____	15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>		
16. <input type="checkbox"/> Other. _____			

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label				or <input type="checkbox"/> Correspondence address below	
Name and Signature	Steven H. Slater Slater & Matsil, L.L.P. 				
Address	15150 Preston Road, Suite 300				
City	Dallas	State	Texas	Zip Code	75248
Country	U.S.	Telephone	(972) 401-9786	Fax	(972) 401-9787

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, patent and Trademark office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington DC 20231.

JG929 U.S. PTO
09/813266
03/20/01

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 750.00)

Complete if Known

Application Number	TBD
Filing Date	March 20, 2001
First Named Inventor	Robert J. McCarty, Jr.
Examiner Name	TBD
Group Art Unit	TBD
Attorney Docket No.	COM011

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-1065

Deposit Account Name Slater & Matsil, L.L.P.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed

Check Credit Card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)				710.00	

2. EXTRA CLAIM FEES

Total Claims	20	- ** =	<input type="text"/>	<input type="text"/>	= <input type="text"/>
Independent Claims	2	- ** =	<input type="text"/>	<input type="text"/>	= <input type="text"/>
Multiple Dependent			<input type="text"/>	<input type="text"/>	= <input type="text"/>

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)				

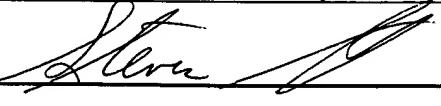
** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	240	126	240
581	40	581	40
146	710	246	355
149	710	249	355
179	710	279	355
169	900	169	900
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			
40.00			

SUBMITTED BY

Complete (if applicable)

Name (print/type)	Steven H. Slater	Registration No. (Attorney/Agent)	35,361	Telephone	(972) 401-9786
Signature				Date	20 MAR 01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert J. McCarty, Jr. Art Unit: TBD
Serial No.: TBD Examiner: TBD
Date Filed: March 20, 2001 Docket: COM011
For: Reduced MIPS Pulse Shaping Filter

Certificate of Mailing via Express Mail

EXPRESS MAIL mailing label number: EL 842426825 US

Date of Deposit: March 20, 2001

I hereby certify that the following documents, which are enclosed, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: BOX: Patent, Assistant Commissioner for Patents, Washington, DC 20231:

- Utility Patent Application Transmittal Form (1 page)
- Complete copy of Patent Application (23 pages), to include:
 - Specification (19 pages)
 - Drawings (4 page)
- Assignment Recordation Cover Sheet (1 page)
- Assignment (1 page)
- Declaration and Power of Attorney (2 pages)
- Fee Transmittal (original and 1 copy)
- Check for \$750.00
- Return Postcard

Respectfully submitted,



Karen Fabritiis

Slater & Matsil, L.L.P.
15150 Preston Road, Suite 300
Dallas, Texas 75248
(972) 401-9786 (telephone)
(972) 401-9787 (facsimile)